

Level 4 Certificate in International Advanced Wealth Management

What is the level 4 Certificate in International Advanced Wealth Management?

The Certificate in International Advanced Wealth Management is a higher-level qualification for experienced wealth managers and investment advisers looking to develop and consolidate their experience at a demonstrable level of professionalism, or those aspiring to become a Chartered Wealth Manager.

Who should study for it?

The Certificate in International Advanced Wealth Management is suitable for experienced practitioners working in private banking and wealth management roles outside the UK, who are looking to develop and consolidate their experience at a higher-level, and/or those looking to become a Chartered Wealth Manager by achieving our Level 7 Diploma in Wealth Management.

New entrants to financial services may want to consider our Level 3 International Certificate in Wealth & Investment Management.

Ofqual approved

The Certificate in International Advanced Wealth Management is regulated by Ofqual (on its Regulated Qualifications Framework) as the CISI Level 4 Certificate in International Advanced Wealth Management. The Total Qualification Time for this qualification is 284 hours. Visit <https://register.ofqual.gov.uk/>. On the European Qualifications Framework, this equates to a level 5 qualification. For further information visit cisi.org/eqf

Syllabus summary

Paper 1 - Economics and Markets for Wealth Management

- Wealth Management and the Financial Services Sector
- Macro-Economic Environment
- Cash, Money Markets and the Foreign Exchange Market
- Fixed Income Securities
- Equities
- Real Estate and Alternative Investments
- Derivatives
- Settlement and Safe Custody

Paper 2 - Wealth Management Practice

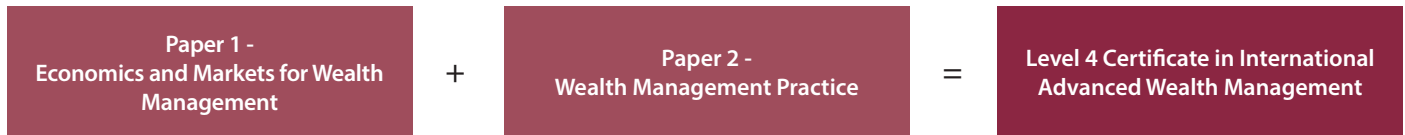
- Securities Analysis
- Taxation, Trusts and Foundations
- Investment Products
- Principles of Investment Risk and Return
- Portfolio Construction
- Investment Advice
- Investment Selection and Recommendation
- Portfolio Evaluation, Maintenance and Review

Key features

- **Global qualification** – focuses on key principles and best practice of wealth management by exploring global markets.
- **Client-focused** – demonstrate competence and meet clients' needs when giving advice.
- **Flexible testing** – the qualification is examined by computer-based testing, providing you with the flexibility to sit the exam all year.
- **Free CISI Student membership** – become associated with a leading Chartered professional body and enjoy some of the first-class membership benefits available to full CISI members during your studies.
- **Satisfies the requirements for ESMA/ MiFID II**

How is the qualification structured?

The Certificate in International Advanced Wealth Management is achieved by passing the 2 units listed below.



Studying for the Level 4 Certificate in International Advanced Wealth Management

Candidates will be able to self-study for the exams using the CISI workbook and accompanying elearning material or have formal training with one of our Accredited Training Partners (ATP). To find an ATP near you visit [cisi.org/atp](https://www.cisi.org/atp)

The CISI's learning manual policy requires, where available, the most up-to-date CISI workbook to be purchased when booking an exam, including by an ATP on your behalf. Candidates sitting CISI exams outside of the UK will receive the most up-to-date learning manual for that subject in PDF format and this is included in the international exam price.

If you are not currently a CISI member you will receive free CISI Student membership when you book your exam to enjoy a wide range of benefits. Visit [cisi.org/membership](https://www.cisi.org/membership)

Assessment Structure

Two 2-hour, 80 multiple-choice question exams delivered by computer-based testing (CBT). A worldwide network of test centres is available, visit [cisi.org/cbt](https://www.cisi.org/cbt) to find your nearest venue.

Next steps

CISI Diploma in International Advanced Wealth Management

Once you have achieved the Level 4 Certificate in International Advanced Wealth Management, if you pass a local regulatory exam, you can achieve the CISI Diploma in International Advanced Wealth Management.



CISI membership

Achieving the Certificate in International Advanced Wealth Management qualification will make you eligible to join the CISI at an Associate (ACSI) level and enjoy the first-class membership benefits and receive the enhanced recognition of being a member of a leading professional body.

CISI membership enables you to develop your career, increase your competence and maintain the highest stands of personal integrity.

Visit [cisi.org/membership](https://www.cisi.org/membership)

Higher level CISI qualifications

Chartered Wealth Manager Qualification

A further study opportunity is the Chartered Wealth Manager Qualification which will allow you to use the prestigious and globally recognised Chartered Wealth Manager title. The qualification offers wealth managers including discretionary portfolio managers, private bankers, IFAs (and others dealing with high net worth clients) a postgraduate level specialist qualification encompassing the breadth of knowledge needed to provide a high quality service to clients. [cisi.org/cwmg](https://www.cisi.org/cwmg)